

# High performances of Polynomial and Nonlinear Backstepping Control Strategies of an Induction Motor fed by Matrix Converter

**Abstract.** The main objective of this paper is to present the performance analysis of the oriented flux control of an induction motor associated with a matrix converter. A polynomial technique of RST type is used for speed control. As for the control of internal current loops, the technique used is based on the nonlinear approach. Overall, the proposed feedback law is asymptotically stable, which is shown in the context of the Lyapunov theory. The design of the control laws by the backstepping technique has been detailed while taking account of the non-linearities in the design phase of the control system. The objective is to obtain a good transient response and a good capacity of rejection of charge disturbance. The induction motor incorporating the proposed control techniques (RST-Backstepping) has been successfully implemented in numerical simulation using Matlab/Simulink under different operating conditions where the static and dynamic responses of the system are evaluated. It can be seen that the proposed control technique provides good speed monitoring performance. For internal loops, overall stability is ensured and the proposed approach presents good robustness to the uncertainties of the system parameters.

**Streszczenie.** W artykule zaprezentowano analizę właściwości sterowania silnikiem indukcyjnym za pośrednictwem przetwornika macierzowego. Zastosowano wielomianową technikę RST do sterowania prędkością. Do sterowania pętlą prądową zastosowano metodę nieliniową. Zaproponowane sprzężenie zwrotne jest asymptotycznie stabilne w kontekście teorii Lapunova. Numeryczne symulacje wykazały skuteczność zaproponowanej metody. **Wielomianowe i nieliniowe sterowanie silnikiem indukcyjnym za pośrednictwem przetwornika macierzowego**

**Keywords:** RST, Backstepping, Induction Motor (IM), Nonlinear Control, Matrix Converter (MC).

**Słowa kluczowe:** silnik indukcyjny, sterowanie nieliniowe, przetwornik macierzowy.

## Introduction

The extraordinary progresses recorded in power semiconductor technology, digital electronics and control theory have permitted to AC motors face the high requirements in terms effectiveness of control with high dynamic performances difficult to obtain in industrial sector. Actually, induction motors are the most widely used at variable speed and torque due to their simplicity, robustness, efficiency and reliability. The significant progresses mentioned above has made it possible to implement effective controls for driving the induction motor [1-3].

Currently, high-performance electrical drives require quick and accurate responses, with rapid rejection of all disturbances and insensitivity to parameter variations. The dynamic behavior of an AC motor can be significantly improved by using the vector control theory where the machine variables are transformed into a set of orthogonal axes so that flux and torque can be controlled separately [4-8].

Moreover, the matrix converter is a power converter of great importance. It has been introduced and put into operation over the past two decades. In the literature, there are only a few references concerning the use of matrix converters in drives based on inductive motors [9-12]. Thus, the drive of the induction motor supplied by a matrix converter presents a superiority compared to the voltage source in an inverter driven by the conventional pulse width modulation (PWM -VSI) technique due to the absence of short-lived capacitors, bi-directional electrical capacity, sinusoidal input/output current, and adjustable input power factor.

Conventional regulators remain, until today, the most used in many industrial applications based on Induction motors in conjunction with the oriented flow control method for speed control. About 90% of industrial controllers are PI/PID controllers [13]. The others are constructed of control systems that are based on various modern control techniques.

Although relatively easy to adjust, the PI/PID correctors do not always provide the required dynamic performance for target tracking and disturbance rejection, particularly for systems: (i) with Pure delay/ important Dead time (ii) of

order greater than two (thus possessing more than one vibratory mode), (iii) with parameters varying in time, etc. [14-16].

However, the considerable scientific progress noted in the theory of non-linear control has enabled many researchers to propose systematic approaches, dealing with non-linearities, applied to the speed and / or position control of induction machines in order to improve the robustness of the control in spite of the parametric variations such as the variation of the rotor resistance of the motors. Note that these techniques require knowledge of the parameters of the system, usually used in the case of electrical machines [17-19].

In this paper, we present a polynomial control of type, associated with a nonlinear control strategy based on the backstepping approach applied to the control of an induction motor fed by a matrix converter. The objectives of this control strategy are to combine the **RST-Backstepping** control diagrams in order to improve the dynamic performance of the system and guarantee a total rejection of disturbances. We take advantage of the matrix converter which minimizes the ripples of internal variables such as current and torque.

The main topic of this paper is to design a simple control law compared to the works presented in the recent literature for the three-phase induction motor allowing high static and dynamic performances. The method based on the Backstepping approach establishes successive relationships to iteratively construct a systematic and robust control law, asymptotically stable according to Lyapunov stability theory, where the variation effect of some parameters and load perturbation can be considerably reduced by adding an integral action of the tracking errors at each step of the control of the currents which makes it possible to ensure a high precision of control with respect to the uncertain parametric.

Speed control is provided by the RST controller where the pole placement technique is used to ensure the stability of the closed loop system. This digital corrector (RST) can offer a very good alternative for high order and delayed systems. The structure of the regulator RST which acts differently on the setup and on the output which is the main

reason for this success, of which it can easily replace the **PID** regulator in the industry.

The effectiveness of the control of the proposed algorithm is verified by several simulation tests.

### Mathematical modelling system

#### IM drive model

The system equation of induction motor in the Park reference frame (d-q) model can be expressed as follows [20-22]:

$$\begin{aligned} \frac{d\theta}{dt} &= \Omega \\ \frac{d\Omega}{dt} &= \frac{1}{J} \left[ \left( \frac{3}{2} p (\phi_{sd} i_{sq} - \phi_{sq} i_{sd}) - f_c \Omega - T_L \right) \right] \\ \frac{d\phi_{sd}}{dt} &= V_{sd} - R_s i_{sd} \\ \frac{d\phi_{sq}}{dt} &= V_{sq} - R_s i_{sq} \\ \frac{di_{sd}}{dt} &= -\frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sd} - p \Omega i_{sq} + \frac{1}{\sigma L_s \tau_r} \phi_{sd} + \frac{1}{\sigma L_s} p \Omega \phi_{sq} + \frac{1}{\sigma L_s} V_{sd} \\ \frac{di_{sq}}{dt} &= -\frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sq} + p \Omega i_{sd} + \frac{1}{\sigma L_s \tau_r} \phi_{sq} - \frac{1}{\sigma L_s} p \Omega \phi_{sd} + \frac{1}{\sigma L_s} V_{sq} \end{aligned}$$

In this model,  $\Omega$  and  $\theta$  are the mechanical speed and angle respectively,  $V_{sd,sq}$ ,  $i_{sd,sq}$  and  $\phi_{sd,sq}$  represent the stator voltages, currents and flux in the (d-q) frame respectively. Moreover,  $p$  denotes the number of pole pairs,  $R_s$  is stator phase resistance,  $L_s$  is the leakage inductance in the stator windings.  $\tau_s$  and  $\tau_r$  represent the stator and the rotor time constant respectively,  $\sigma$  is the dispersion coefficient.  $J$  is the moment of rotor inertia,  $f_c$  is the viscose friction coefficient and  $T_L$  is the load torque.

#### Matrix converter model and Scalar algorithm strategy

The matrix converter has several advantages compared to the conventional voltage or current source inverters. It converts energy directly from the source to the load without any intermediate power storage element and provides sinusoidal input with minimal higher order harmonics and no sub harmonics. It has inherent bi-directional energy flow capability and a better control of the input displacement factor with minimal energy storage requirements allowing to get rid of bulky and lifetime-limited energy-storing capacitors.

In order to ensure operation, the scalar method proposed by Roy and April [23-24] in 1987 uses a typical method among several modulation methods to achieve a ratio of 0.87 between the output voltage and converter input voltage so that the switch actuating signals are calculated directly from measurements of the input voltages. The motivation behind their development is usually given as the perceived complexity of the method of Venturini [25-27], the value of any instantaneous output phase voltage  $V_j$  ( $V_a, V_b, V_c$ ) is expressed as follows:

$$(1) \quad \begin{aligned} v_{jN} &= \frac{1}{T_s} (t_K v_K + t_L v_L + t_M v_M) \\ t_K + t_L + t_M &= T_s \end{aligned}$$

In the scalar method, the switch actuating signals are calculated directly from measurements of the instantaneous input voltages followed by a comparison of the quantities as mentioned in the following algorithm.

1. Assign the subscript  $M$  to one of the three-phase input voltages having a different polarity to the other,
2. Assign the subscript  $L$  to the smaller voltage (in absolute value) of the two input voltages,
3. Assign the subscript  $K$  to the third input voltage.

where:

$$(2) \quad \begin{aligned} M_{Lj} &= \frac{(v_{jN} - v_M)}{1.5V_i^2} v_L \\ M_{Kj} &= \frac{(v_{jN} - v_M)}{1.5V_i^2} v_K \\ m_{Mj} &= 1 - (m_{Lj} + m_{Kj}) \quad j = a, d, c \end{aligned}$$

The output voltage is given by:

$$v_{jN} = m_{Kj} v_K + m_{Lj} v_L + m_{Mj} v_M$$

The modulation  $m_{ij}$  for the scalar coefficients method with the value of  $Q_{\max} = \frac{\sqrt{3}}{2}$  is shown in equation (3).

$$(3) \quad m_{ij} = \frac{1}{3} \left[ 1 - \frac{2v_i v_j}{1.5v_i^2} + \frac{2}{3} \sin(\omega_i t + \beta_i) \sin(3\omega_i t) \right]$$

For  $i=A, B, C$  and  $j=a, b, c$  where  $i$  and  $j$  represent the indices for the input and output voltages respectively with  $\beta_i=0, 2\pi/3$  and  $4\pi/3$ .

Fig 1 shows the structure of the matrix converter feeding the induction motor. The input and the output voltages and current can be expressed as vectors defined by:

$$V_i = \begin{bmatrix} V_A \\ V_B \\ V_C \end{bmatrix} \quad V_j = \begin{bmatrix} V_a \\ V_b \\ V_c \end{bmatrix} \quad I_i = \begin{bmatrix} I_A \\ I_B \\ I_C \end{bmatrix} \quad I_j = \begin{bmatrix} I_a \\ I_b \\ I_c \end{bmatrix}$$

where  $M_i$  is the transfer matrix given by:

$$M(t) = \begin{bmatrix} m_{Aa} & m_{Ba} & m_{Ca} \\ m_{Ab} & m_{Bb} & m_{Cb} \\ m_{Ac} & m_{Bc} & m_{Cc} \end{bmatrix}$$

$$V_j = M(t) \cdot V_i \text{ and } I_i = [M(t)]^T I_j$$

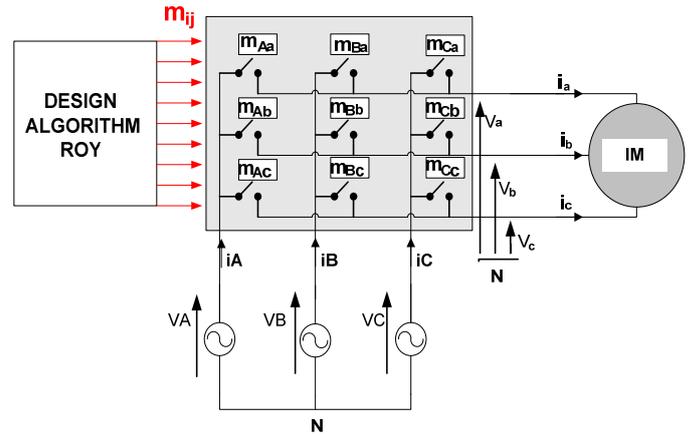


Fig.1: Circuit scheme of 3 phase to 3-phase matrix converter.

#### IM Control Strategy

##### Design of nonlinear Backstepping currents control

The control objective is to design a suitable control law for the **IM** servo drive system given by equations (1) so that the state trajectory of the stator currents  $i_{sd,sq}$  can track the desired stator currents  $i_{sd,sq}^*$  trajectory despite the variation parameters and the presence of external load disturbance. When all **IM** dynamics are well known, the backstepping design for the uncertain **IM** servo drive system can be described step-by-step.

##### Stator current $i_{sq}$ loop

In this section we will present the design of the asynchronous motor controls inputs  $V_{sq}$  and  $V_{sd}$ . To design the control input  $V_{sq}$ , we introduce the following tracking error:

$$(4) \quad \varepsilon_q = i_{sq}^* - i_{sq}$$

Let the variable  $\xi_q$

$$(5) \quad \xi_q = \varepsilon_q + K_{q2} \int_0^t \varepsilon_q$$

With  $K_{q2}$  a twining gain. If we set  $\xi_q' = \int_0^t \varepsilon_q$ , we can consider the following Lyapunov function:

$$(6) \quad V_1 = \frac{1}{2} (\xi_q^2 + \xi_q'^2)$$

The time derivative of  $V_1$  is given by:

$$(7) \quad \begin{aligned} \frac{d}{dt} V_1 &= \xi_q \frac{d\xi_q}{dt} + \xi_q' \frac{d\xi_q'}{dt} \\ &= \xi_q \left[ \frac{d\varepsilon_q}{dt} + K_{q2} \varepsilon_q \right] + \xi_q' K_{q2} \varepsilon_q \\ &= \xi_q \left[ \frac{di_{sq}^*}{dt} - \frac{di_{sq}}{dt} + K_{q2} (i_{sq}^* - i_{sq}) \right] + \xi_q' K_{q2} (i_{sq}^* - i_{sq}) \end{aligned}$$

By replacing  $di_{sq}/dt$  from the model (1) we obtain:

$$(8) \quad \begin{aligned} \frac{dV_1}{dt} &= \xi_q \left[ \frac{di_{sq}^*}{dt} - \frac{1}{\sigma L_s} V_{sq} + \frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sq} - \right. \\ &\quad \left. p\Omega i_{sd} + \frac{1}{\sigma L_s} p\Omega \phi_{sd} - \frac{1}{\sigma L_s \tau_r} \phi_{sq} \right] \\ &\quad + \xi_q K_{q2} (i_{sq}^* - i_{sq}) + \xi_q' K_{q2} (i_{sq}^* - i_{sq}) \\ &= \xi_q \Phi_1 + \xi_q K_{q2} (i_{sq}^* - i_{sq}) + \xi_q' K_{q2} (i_{sq}^* - i_{sq}) \end{aligned}$$

where:

$$\Phi_1 = \frac{di_{sq}^*}{dt} - \frac{1}{\sigma L_s} V_{sq} + \frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sq} - p\Omega i_{sd} + \frac{1}{\sigma L_s} p\Omega \phi_{sd} - \frac{1}{\sigma L_s \tau_r} \phi_{sq}$$

Let:

$$(9) \quad \Phi_1 = -\xi_q K_q$$

With  $K_q$  isa twining gain. Then,  $dV_1/dt$  in equation (9) can be written as:

$$\begin{aligned} \frac{dV_1}{dt} &= -K_q \xi_q^2 + \xi_q K_{q2} (i_{sq}^* - i_{sq}) + \xi_q' K_{q2} (i_{sq}^* - i_{sq}) \\ &= -K_q \xi_q^2 + (\xi_q + \xi_q') K_{q2} (i_{sq}^* - i_{sq}) \end{aligned}$$

according to equation (4), we write:

$$(11) \quad \begin{aligned} \frac{dV_1}{dt} &= -K_q \xi_q^2 + K_{q2} (\xi_q^2 - \xi_q'^2) \\ &= -(K_q - K_{q2}) \xi_q^2 - K_{q2} \xi_q'^2 \end{aligned}$$

Therefore, under the constraint given by equation (9), and the conditions:

If

$$(12) \quad \begin{aligned} K_{q2} &> 0, \\ K_q &> K_{q2}, \Rightarrow \frac{dV_1}{dt} \leq 0 \end{aligned}$$

and the control input  $V_{sq}$  can be found by solving the constraint (8). So, by replacing  $\Phi_1$  from equation (10) in equation (11) we can write:

$$(13) \quad \frac{di_{sq}^*}{dt} - \frac{1}{\sigma L_s} V_{sq} + \frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sq} - p\Omega i_{sd} + \frac{1}{\sigma L_s} p\Omega \phi_{sd} - \frac{1}{\sigma L_s \tau_r} \phi_{sq} = -\xi_q K_q$$

$$(14) \quad \begin{aligned} V_{sq} &= \sigma L_s [K_q \xi_q + \frac{di_{sq}^*}{dt} + p\Omega i_{sd}] + L_s \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sq} + \\ &\quad p\Omega \phi_{sd} - \frac{1}{\tau_r} \phi_{sq} \end{aligned}$$

## Stator current $i_{sd}$ loop

To design the control input  $V_{sd}$ , like for  $V_{sq}$  we introduce the following tracking error

$$(15) \quad \varepsilon_d = i_{sd}^* - i_{sd}$$

Let the variable  $\xi_d$

$$(16) \quad \xi_d = \varepsilon_d + K_{d2} \int_0^t \varepsilon_d$$

With  $K_{d2}$  a twining gain. If we set  $\xi_d' = \int_0^t \varepsilon_d$ , we can consider

the following Lyapunov function:

$$(17) \quad V_2 = \frac{1}{2} (\xi_d^2 + \xi_d'^2)$$

The derivation of this function leads to write:

$$(18) \quad \begin{aligned} \frac{dV_2}{dt} &= \xi_d \left[ \frac{di_{sd}^*}{dt} - \frac{1}{\sigma L_s} V_{sd} + \frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sd} + p\Omega i_{sq} - \frac{1}{\sigma L_s \tau_r} \phi_{sd} - \frac{1}{\sigma L_s} p\Omega \phi_{sq} \right] \\ &\quad + \xi_d K_{d2} (i_{sd}^* - i_{sd}) + \xi_d' K_{d2} (i_{sd}^* - i_{sd}) \\ &= \xi_d \Phi_2 + \xi_d K_{d2} (i_{sd}^* - i_{sd}) + \xi_d' K_{d2} (i_{sd}^* - i_{sd}) \end{aligned}$$

Where:

$$\Phi_2 = \frac{di_{sd}^*}{dt} - \frac{1}{\sigma L_s} V_{sd} + \frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sd} + p\Omega i_{sq} - \frac{1}{\sigma L_s \tau_r} \phi_{sd} - \frac{1}{\sigma L_s} p\Omega \phi_{sq}$$

Let

$$(19) \quad \Phi_2 = -\xi_d K_d$$

with  $K_d$  a twining gain. Then,  $dV_2/dt$  in equation (18) can be written as:

$$(20) \quad \begin{aligned} \frac{dV_2}{dt} &= -K_d \xi_d^2 + K_{d2} (\xi_d^2 - \xi_d'^2) \\ &= -(K_d - K_{d2}) \xi_d^2 - K_{d2} \xi_d'^2 \end{aligned}$$

Therefore, under the constraint given by equation (19), and if

$$(21) \quad \begin{aligned} K_{d2} &> 0, \\ K_d &> K_{d2}, \Rightarrow \frac{dV_2}{dt} \leq 0 \end{aligned}$$

and the control input  $V_{sd}$  can be found by solving the constraint (20). So, by replacing  $\Phi_2$  from equation (20) in equation (19) we can write

$$(22) \quad \begin{aligned} \frac{di_{sd}^*}{dt} - \frac{1}{\sigma L_s} V_{sd} + \frac{1}{\sigma} \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sd} + p\Omega i_{sq} - \\ \frac{1}{\sigma L_s \tau_r} \phi_{sd} - \frac{1}{\sigma L_s} p\Omega \phi_{sq} = -\xi_d K_d \end{aligned}$$

then, the control input  $V_{sd}$  making  $dV_2/dt \leq 0$  is given by

$$(23) \quad \begin{aligned} V_{sd} &= \sigma L_s [K_d \xi_d + \frac{di_{sd}^*}{dt} + p\Omega i_{sq}] + L_s \left( \frac{1}{\tau_s} + \frac{1}{\tau_r} \right) i_{sd} - \\ &\quad - p\Omega \phi_{sq} - \frac{1}{\tau_r} \phi_{sd} \end{aligned}$$

After obtaining the  $V_{sd}$  and  $V_{sq}$  control signals, they are tuned into three phases referential by means of the inverse Park transformation and are given as a reference to the Matrix Converter or the **PWM** block in order to generate the converter signals pulse.

## Polynomial speed control Pole placement synthesis

For good control of speed, cascade control scheme requires that the internal loop (current) is faster than the external loop (speed). The torque adjustment is effected by action on the quadrature stator current ( $i_{sq}$ ). Therefore, the output of the external loop controller is the reference for the internal loop.



reference sequence variations, it can be seen that the dynamic speed response of the proposed system follows the reference model speed. The currents  $i_{sd}$ ,  $i_{sq}$ , responses of the proposed system have good dynamic performances even with a torque changes ( $T_L$ ) on a wide range. Indeed, the speed response is characterized by a strong dynamic so that the motor follows the imposed reference. In spite of the disturbances due to the load torque, the speed error does not exceed 0.3%, it illustrates the robust character of the control law. Note that the decoupling control is very quiet maintained with the wide speed range variations.

Also, both rotor speed and rotor flux converge perfectly to their reference value. Thus, in the rated case, the control gives good quality response. On the Fig.4, one observes that the system of speed control presents perfectly a dynamics of a second-order system. Indeed, the speed response to a step signal is optimal because the damping ratio is equal to 0.707.

In all these tests, the reference speed and reference rotor flux are maintained in sequence 1 and 2. We observed that rotor flux on the  $q$ -axis is fixed to zero. With the proposed algorithm of backstepping control we have recorded a good responses performance.

Since motor heating usually causes a considerable variation in the winding resistance, there is often a mismatch between the actual rotor resistance and its corresponding set value within the model used for flux estimation.

Now, in order to illustrate the robustness of the control scheme proposed, the influence of parameter deviations is investigated. Parameter deviations are intentionally introduced in the controller scheme. Fig.6 and Fig.7 show the responses for 25% increase of the rotor resistance and Fig.8 and Fig.9 show the responses for a 25% increase of the rotor inertia change.

We notice that for a change in the rotor resistance, the speed of the motor may be influenced for the reference speed given by the sequence 1. In Fig.6 and Fig.7 we observed a perturbation at the rotor field but the proposed control has maintained the dynamic system and imposed at the motor rotor field to follow the reference field.

The actual speed does not change during the disturbance and the rotor resistance variation while the rotor field swiftly reaches to its reference value.

Figs. 8 and 9 show the responses for +25% deviations for the rotor inertia  $J$ . The result on the speed tracking is good. However, these four later figures clearly confirm the effectiveness and the properties of robustness of the integral backstepping algorithm associated with the **RST** control that we introduced.

## Conclusion

The successful application of the current control by the non-linear approach of the Backstepping type and of the speed control by the **RST** polynomial approach of the induction motor associated with a matrix converter is illustrated in this paper. It has been shown that the induction motor belongs to a non-linear system class for which the backstepping technique can be used effectively. Recursively, we have identified virtual control states of the induction motor and the stabilization control laws have been developed, in detail, subsequently using the Lyapunov stability theory.

In addition, the parameters of the speed polynomial **RST** were determined using an appropriate pole placement in order to fulfill two main objectives, namely to obtain a good tracking setup and a good rejection capacity of the load disturbances. Thus, the robustness of the drive system has been improved.

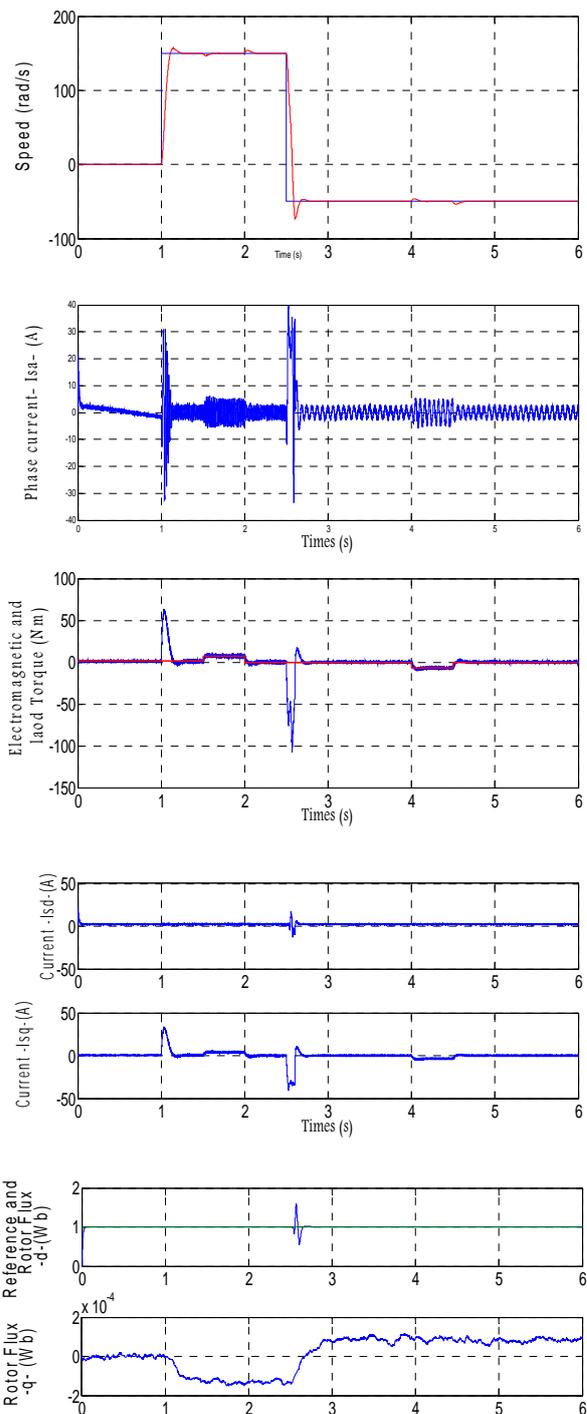


Fig.4: Sequence 1: Sensitivity of the performances system to change in the speed reference and load torque.

However, **RST-Backstepping** controllers are unable to function effectively when a significant degree of uncertainty is present in the system due to an abrupt change in speed associated with load torque disturbances.

The complete induction motor training has been successfully implemented in the **Matlab/Simulink** environment. The validity of the proposed control technique was established in simulation for different operating conditions.

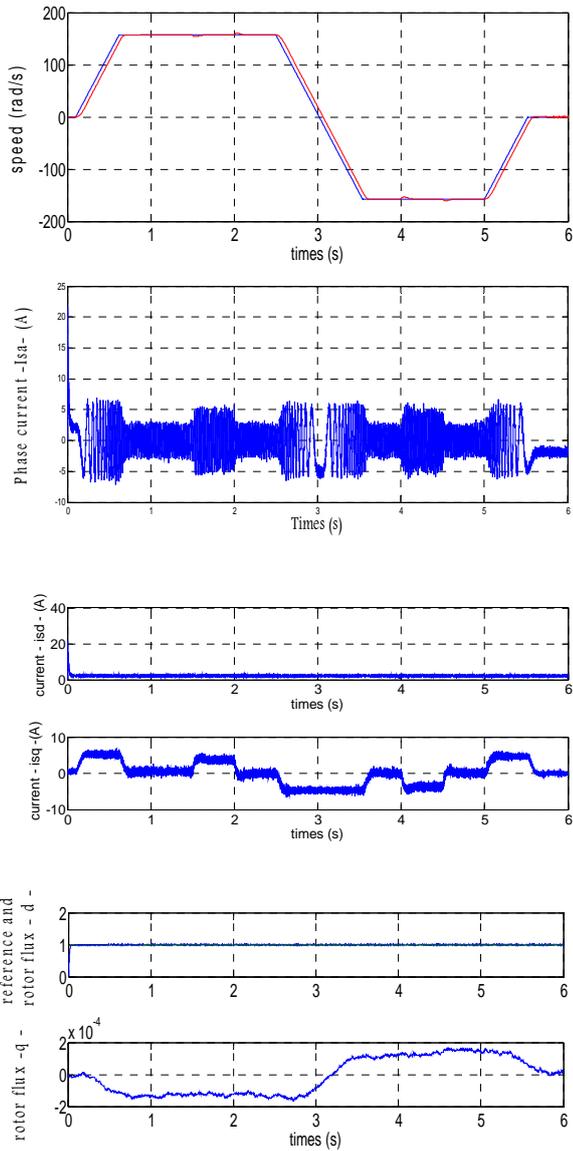


Fig.5: Sequence 2: Sensitivity of the performances system to change in the speed reference and load torque.

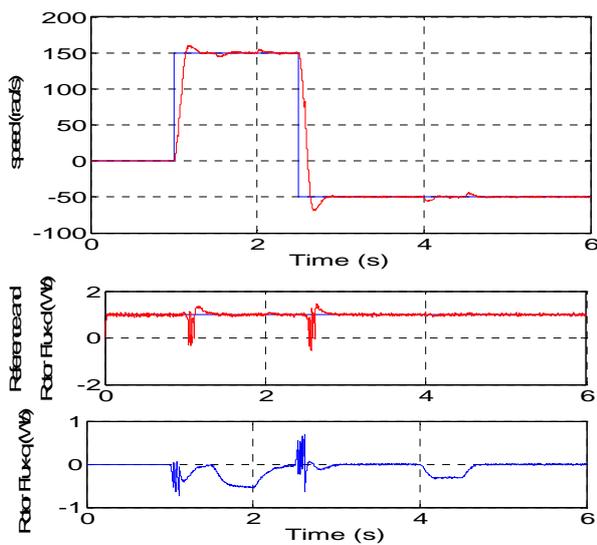


Fig.6 Simulation results under load torque condition and  $R_r$  variation with sequence 1.

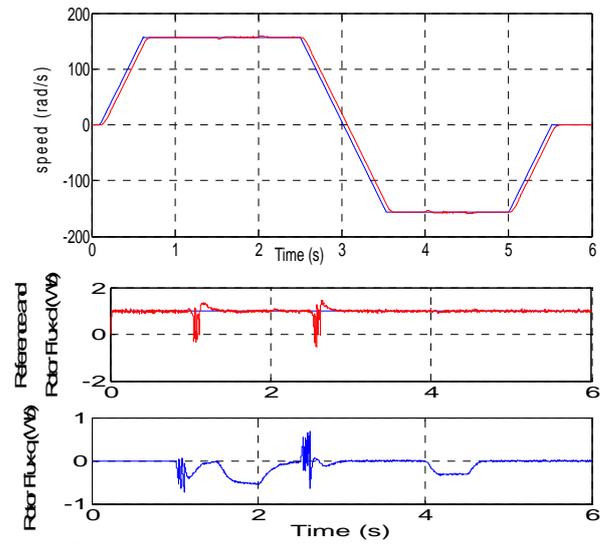


Fig.7 Simulation results under load torque condition and  $R_r$  variation with sequence 2.

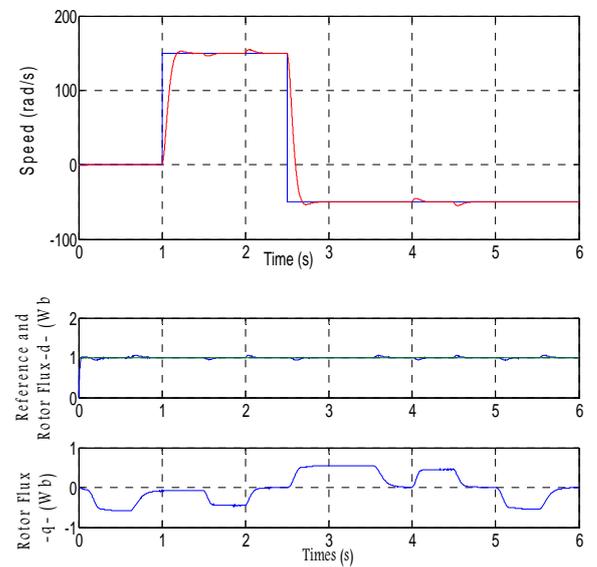


Fig. 8: Simulation results under load torque condition and  $J$  variation with sequence 1.

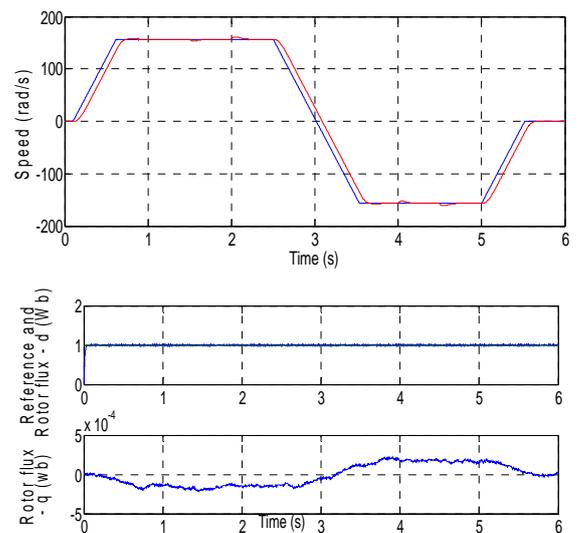


Fig.9: Simulation results of *RST-Backstepping* control at  $\pm 157$  rad/sec under load torque with inertia moment of  $1.25 \cdot J$ .

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