

# Averaged and switching function modelling for the active power filter with LCL-type coupling impedance

**Abstract.** This paper presents the averaged and switching function modelling for the active power filter (APF) with LCL-type coupling impedance. The mathematical model of the LCL-type APF is derived using switching function modelling technique, followed by the Fourier series analysis of the switching functions. The equivalent circuits are presented from the averaged and switching ripple model. The presented technique is also extended to the analysis of the modulation signal and inverter dc-link voltage. Finally, the experimental results are presented for verification.

**Streszczenie.** Artykuł prezentuje modelowanie uśredniającej i przełączającej funkcji aktywnego filtra z impedancją sprzęgającą typu LCL. Model matematyczny jest wyprowadzony przy modelowaniu funkcji przełączającej a następnie przez analizę Fouriera tej funkcji. Zaprezentowano schemat zastępczy. Przedstawiona technika może być rozszerzona do analizy sygnału modulowanego i przekształtnika. Na zakończenie przedstawiono wyniki eksperymentów. (**Modelowanie funkcji uśredniania i przełączania w aktywnym filtrze z impedancją sprzęgającą typu LCL**)

**Keywords:** Active power filter, averaged model, switching function, switching ripple model, LCL-filter

**Słowa kluczowe:** filtry aktywne, filtr LCL, funkcja uśredniania..

## I. Introduction

In recent years, the power quality issues have received considerable attention due to the proliferation of nonlinear loads, which causes power loss, voltage sag/swell and temporary interruptions [1, 2]. To mitigate these problems, the active power filters (APFs) are utilized to compensate harmonic current and improve power factor. Extensive research was carried out concerning the circuit topologies, harmonic detection methods, current controller design methods of the APFs [3-6].

However, the stringent theoretical modelling of the APFs was not reported in the literature. This paper aims to cover this gap and presents the strict theoretical modelling of the APF with LCL-type coupling impedance using switching function modelling method. The mathematical model of the APF is derived using switching functions, and the averaged and switching ripple equivalent circuits are obtained by exploiting the Fourier series expansion of the switching functions. Next, the ac-side and the dc-side model of the inverter are reported, followed by the strict derivation of the averaged and switching models for the modulation signal as well as the inverter dc-link voltage.

The organization of this paper is as follows. Section II presents the general description of the LCL-type APF. Section III presents the switching function model of the LCL-type APF. Sections IV and V presents the averaged and switching model of the ac-side and dc-side of the inverter, respectively. Next, Sections VI and VII present the analysis of modulation signal and the dc-link fluctuation. Section VIII presents the experimental results. Finally, Section IX concludes this paper.

## II. General Description of the LCL-type APF

Fig.1 shows the circuit diagram of the shunt APF with LCL-type coupling impedance. To derive the averaged and switching function model of the converter, the following assumptions are considered [1, 4, 5]:

(1).The equivalent resistance of the inductors  $L_g$  and  $L_c$  are neglected; (2).The switching devices, i.e., the IGBTs, are ideal switch, which implies the instantaneous switching transitions between 'on' and 'off' states and the deadtime is also neglected; (3).The grid is represented using Thevenin's equivalent circuit, i.e., the ideal voltage source in series with effective resistance  $R_s$  and inductance  $L_s$ ; (4).The nonlinear load is denoted by the controlled current source (CCS); (5).The pulse-width modulation (PWM) process is within its linear region, i.e., the peak of the modulation signal is always lower than the peak of carrier

signal. Based on the aforementioned assumptions, the switching function model of the LCL-type APF would be derived in the forthcoming subsections. In the next Section, the switching function model of the LCL-type APF would be presented.

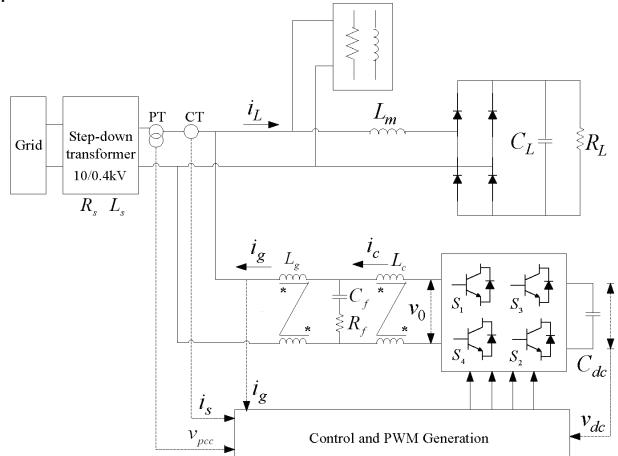


Fig.1 Circuit diagram of the shunt APF with LCL output filter (Only phase A is shown).

## III. Switching Function Model of the LCL-type APF

The switching states of the IGBT can be denoted by '1' and '0', representing the 'on' and 'off' state of the switch. The switching states of the two IGBTs from the same phase leg are complementary to each other. Hence the switching states of the upper switches ( $S_1$  and  $S_3$ ) can be utilized to denote the state of the converter. The output voltage of the inverter can be represented by the switching functions  $S_1$ ,  $S_3$  and the dc-link voltage  $v_{dc}$  of the inverter, as [1,4-6]:

$$(1) \quad v_0 = [S_1(t) - S_3(t)]v_{dc}(t)$$

Hence, the IGBT currents  $i_{S1} \sim i_{S4}$  can be denoted as:

$$(2) \quad i_{S1}(t) = S_1(t)i_c(t), i_{S4}(t) = [1 - S_1(t)]i_c(t)$$

$$(3) \quad i_{S3}(t) = -S_3(t)i_c(t), i_{S2}(t) = [1 - S_3(t)]i_c(t)$$

where  $i_c$  denotes the output current of the inverter.

From Fig.1, the dc-link current of the inverter  $i_d$  can be represented as [4, 6]:

$$(4) \quad i_d(t) = -[i_{S_1}(t) + i_{S_3}(t)] = [S_3(t) - S_1(t)]i_c(t)$$

From Eq.(1) and Eq.(4), the ac-side and the dc-side equivalent circuit of the converter can be easily derived. In this study, the ac-side converter is represented by the controlled voltage source (CVS)  $v_0$ , and the dc-side of the converter is denoted by controlled current source (CCS)  $i_d$ . Since the switching functions are discontinuous, the Fourier series expansion of the variable  $v_0$  and  $i_d$  during one control cycle  $T_{sw}$  should be analyzed. Without loss of generality, the Fourier series expansion of the variable  $y(t)$  within one cycle  $T_{sw}$  can be represented as [6]:

$$(5) \quad \begin{aligned} y(t) &= \bar{y}(t) + y^{sw}(t) \\ &= \bar{y}(t) + \sum_{n=1}^{\infty} A_n(t) \sin(n \frac{2\pi}{T_{sw}} t) + \sum_{n=1}^{\infty} B_n(t) \cos(n \frac{2\pi}{T_{sw}} t) \end{aligned}$$

where the average component of  $y(t)$  is denoted as:

$$(6) \quad \bar{y}(t) = \frac{1}{T_{sw}} \int_{t-T_{sw}}^t y(\tau) d\tau$$

In addition, the switching ripple component is denoted by  $y^{sw}(t)$ , which represents the sum of the trigonometric functions, and the Fourier series components  $A_n$  and  $B_n$  can be derived as [6]:

$$(7) \quad A_n(t) = \frac{2}{T_{sw}} \int_{t-T_{sw}}^t y(\tau) \sin(n \frac{2\pi}{T_{sw}} \tau) d\tau, n = 1, 2, 3, \dots$$

$$(8) \quad B_n(t) = \frac{2}{T_{sw}} \int_{t-T_{sw}}^t y(\tau) \cos(n \frac{2\pi}{T_{sw}} \tau) d\tau, n = 1, 2, 3, \dots$$

Similarly, the inverter output current  $i_c$  and dc-link voltage  $v_{dc}$  can be rewritten as:

$$(9) \quad v_o(t) = [\bar{S}_1(t) + S_1^{sw}(t)] - [\bar{S}_3(t) + S_3^{sw}(t)] [\bar{v}_{dc}(t) + v_{dc}^{sw}(t)]$$

$$(10) \quad i_d(t) = [\bar{S}_3(t) + S_3^{sw}(t)] - [\bar{S}_1(t) + S_1^{sw}(t)] [\bar{i}_c(t) + i_c^{sw}(t)]$$

where the average component of the inverter output current  $i_c$  and dc-link voltage  $v_{dc}$  during one switching period  $T_{sw}$  can be derived as:

$$(11a) \quad \bar{v}_o(t) = [\bar{S}_1(t) - \bar{S}_3(t)] \bar{v}_{dc}(t)$$

$$(11b) \quad \bar{i}_d(t) = [\bar{S}_3(t) - \bar{S}_1(t)] \bar{i}_c(t)$$

Since  $i_c^{sw} \ll \bar{i}_c$  and  $v_{dc}^{sw} \ll \bar{v}_{dc}$ , the switching ripple components of  $i_c$  and  $v_{dc}$  can be neglected, hence the switching ripple component of the output voltage  $v_0$  and the dc-link current  $i_d$  during one switching period can be simplified as:

$$(12a) \quad v_o^{sw}(t) = [S_1^{sw}(t) - S_3^{sw}(t)] \bar{v}_{dc}(t)$$

$$(12b) \quad i_d^{sw}(t) = [S_3^{sw}(t) - S_1^{sw}(t)] \bar{i}_c(t)$$

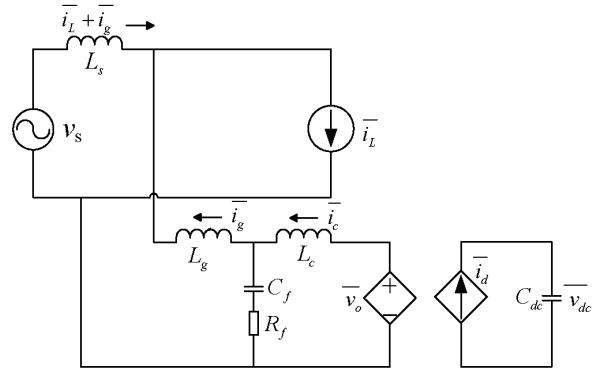


Fig. 2 Averaging model of the LCL-type APF

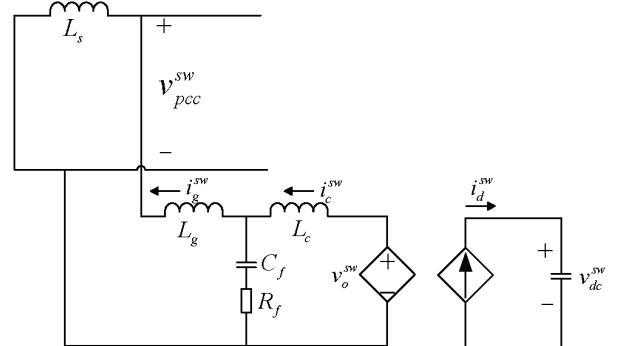


Fig. 3 Switching ripple model of the LCL-type APF

Assuming the grid voltage  $v_s$  and the load current  $i_L$  are free of switching ripple components, from the derivations obtained from Eq.(10) and Eq.(12), the circuit diagram in Fig.1 can be partitioned into two parts, namely, the averaged model and switching ripple model, as illustrated by Fig.2 and Fig.3, respectively.

From Fig.2, it can be noticed that the grid side is represented by voltage source  $v_s$ , the load is represented by the current source  $i_L$ , the inverter and its dc-link are represented by controlled voltage source (CVS)  $v_0$  and controlled current source (CCS)  $i_d$ . On the other hand, it can be observed from Fig.3 that the inverter and its dc-link are represented by controlled voltage source (CVS)  $v_0^{sw}$  and controlled current source (CCS)  $i_d^{sw}$ , and the load is open circuit.

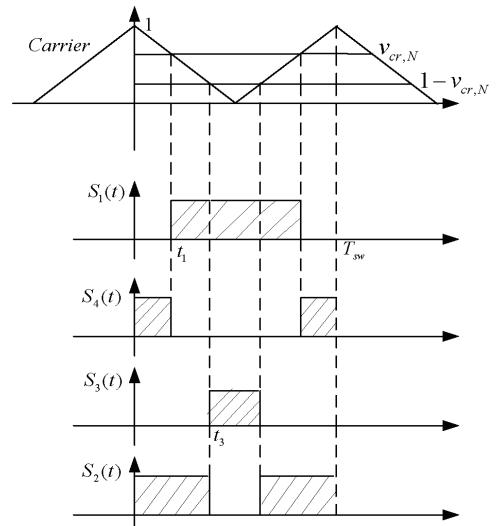


Fig. 4 The PWM gating patterns during one switching cycle

In order to derive the averaged and switching ripple components of each state variable, the Fourier analysis of the switching functions would be presented in the forthcoming derivations.

Fig.4 shows the switching patterns of the IGBTs and the modulation signal, the carrier signal during one control cycle. The carrier signal is a triangular waveform synthesized using the up-down counter, and the modulation signal of  $S_1$  is denoted by  $v_{cr,N}$ , the modulation signal of  $S_3$  is denoted by  $(1-v_{cr,N})$ . The modulation signals  $v_{cr,N}$  and  $(1-v_{cr,N})$  are compared with the triangular carriers to synthesize the gating signals for  $S_1$  and  $S_3$ . Notably, the gating signals of  $S_4$  and  $S_2$  are complementary to those of  $S_1$  and  $S_3$ . In Fig.4,  $t_1$  and  $t_3$  represents the instants when the switches  $S_1$  and  $S_3$  start conducting.

From Fig.4, the relations between the carrier signal and modulation signal can be derived as [4-6]:

$$(13) \quad \frac{T_{sw} - 2t_1}{T_{sw}} = v_{cr,N}, \quad \frac{T_{sw} - 2t_3}{T_{sw}} = 1 - v_{cr,N}$$

Hence,  $t_1 = T_{sw}(1-v_{cr,N})/2$ ,  $t_3 = T_{sw}v_{cr,N}/2$ , and the average quantities of  $S_1$  and  $S_3$  within one switching cycle  $T_{sw}$  can be derived as:

$$(14a) \quad \overline{S_1}(t) = \frac{1}{T_{sw}} \int_0^{T_{sw}} S_1(t) dt = v_{cr,N}$$

$$(14b) \quad \overline{S_3}(t) = \frac{1}{T_{sw}} \int_0^{T_{sw}} S_3(t) dt = 1 - v_{cr,N}$$

Moreover, the switching ripple components of  $S_1$  and  $S_3$  within one switching cycle  $T_{sw}$  can be derived as:

$$(15) \quad S_i^{sw}(t) = \sum_{n=1}^{\infty} A_{i,n}(t) \sin\left(n \frac{2\pi}{T_{sw}} t\right) + \sum_{n=1}^{\infty} B_{i,n}(t) \cos\left(n \frac{2\pi}{T_{sw}} t\right) \quad (i=1,3)$$

Furthermore, the modulation signal can be considered as constant during one switching cycle, and  $S_1$ ,  $S_3$  are even functions, hence  $A_{1,n}=A_{3,n}$  holds. Thus the switching ripple component can be simplified as:

$$(16) \quad S_i^{sw}(t) = \sum_{n=1}^{\infty} B_{i,n}(t) \cos\left(n \frac{2\pi}{T_{sw}} t\right) \quad (i=1,3)$$

where

$$(17a) \quad B_{1,n}(t) = -\frac{2}{n\pi} \sin\left\{n\pi\left[1 - v_{cr,N}(t)\right]\right\}$$

$$(17b) \quad B_{3,n}(t) = -\frac{2}{n\pi} \sin\left[n\pi v_{cr,N}(t)\right]$$

The aforementioned analysis presents the averaged and switching ripple components of the switching functions within one control cycle. Hence the averaged and switching ripple model of the LCL-type APF can be derived, which would be presented in the forthcoming subsections.

#### IV. Averaged and Switching Model of the Ac-Side

From Eqs.(10)-(14) and Fig.4, the average output voltage  $v_o$  of the inverter can be derived as:

$$(18) \quad \overline{v_o}(t) = [2v_{cr,N}(t) - 1] \overline{v_{dc}}(t) = \frac{v_{cr}(t)}{v_{dc}(t)} \overline{v_{dc}}(t)$$

Since  $v_{dc}^{sw} \ll \overline{v_{dc}}$ , hence  $v_{dc}(t) = \overline{v_{dc}}(t)$ ,  $v_{cr}(t) = \overline{v_{cr}}(t)$ . From Fig.2 and according to the Kirchoff's Law, the following differential equation can be derived [1, 4, 6]:

$$(19) \quad \begin{cases} L_g \frac{d\overline{i}_g(t)}{dt} + L_c \frac{d\overline{i}_c(t)}{dt} = v_{cr}(t) - \overline{v_{pcc}}(t) \\ \overline{i}_f(t) = \overline{i}_c(t) - \overline{i}_g(t) = C_f \frac{d\overline{v}_{cf}(t)}{dt} \\ \overline{v}_{cf}(t) + R_f \overline{i}_f(t) = v_{cr}(t) - L_c \frac{d\overline{i}_c(t)}{dt} \end{cases}$$

From Eq.(18) and Eq.(19), the average output voltage of the voltage source inverter during one control cycle can be derived as:

$$(20) \quad \overline{v_0}(t) = v_{cr}(t) = \overline{v_{pcc}}(t) + L_g \frac{d\overline{i}_g(t)}{dt} + L_c \frac{d\overline{i}_c(t)}{dt}$$

where  $\overline{v_{pcc}}(t) = e(t) - L_s \frac{d\overline{i}_s(t)}{dt}$ . The aforementioned analysis lays the foundation for the steady-state analysis and the current controller design guidelines for the LCL-type APF. Substituting Eq.(15) into Eq.(12), we get:

$$(21) \quad v_o^{sw}(t) = -\sum_{n=1}^{\infty} \underbrace{\left\{ \frac{4\overline{v_{dc}}(t)}{n\pi} \cos \frac{n\pi}{2} \sin \left[ n\pi \frac{1-2v_{cr,N}(t)}{2} \right] \right\}}_{C_n(t)} \cdot \cos\left(n \frac{2\pi}{T_{sw}} t\right)$$

when  $n$  is odd number, we get  $C_n(t)=0$ , which implies that only even order switching ripple components remain in the inverter output voltage. From Fig.3, the relations between the inverter output current  $i_c$  and the switching ripple voltage can be denoted as:

$$(22) \quad v_o^{sw} = i_c^{sw} \cdot Z_{eq}$$

where  $Z_{eq}$  represents the equivalent impedance of the switching ripple model, which is represented by:

$$(23) \quad Z_{eq} = R_{eq} + jX_{eq} = jn\omega_{sw}L_c + jn\omega_{sw}(L_s + L_g) / (R_f + 1/jn\omega_{sw}C_f)$$

From Eq.(21) and Eq.(23), the switching ripple component of the output current is derived as:

$$(24) \quad i_c^{sw}(t) = \sum_{n=1}^{\infty} \frac{R_{eq}}{R_{eq}^2 + X_{eq}^2} C_n(t) \cos\left(n \frac{2\pi}{T_{sw}} t\right) + \sum_{n=1}^{\infty} \frac{X_{eq}}{R_{eq}^2 + X_{eq}^2} C_n(t) \cos\left(n \frac{2\pi}{T_{sw}} t - \frac{\pi}{2}\right)$$

Similarly, the switching ripple component of the grid voltage can be derived as:

$$(25) \quad v_{pcc}^{sw}(t) = K_s \frac{jn\omega_{sw}(L_s + L_g) / (R_f + 1/jn\omega_{sw}C_f)}{jn\omega_{sw}(L_s + L_g) / (R_f + 1/jn\omega_{sw}C_f) + jn\omega_{sw}L_c}$$

where  $K_s = L_s v_c^{sw}(t) / (L_s + L_g)$ .

## V. Averaged and Switching Model of the Dc-Side

From Eqs.(10)-(14) and Fig.3, the average dc-link current  $i_d$  of the inverter can be derived as:

$$(26) \quad \bar{i}_d(t) = C_{dc} \frac{d\bar{v}_{dc}(t)}{dt} = (1 - 2V_{cr,N})\bar{i}_c(t)$$

Neglecting the switching ripple component, the dc-link voltage  $v_{dc}$  can be rewritten as:

$$(27) \quad \bar{v}_{dc}(t) = v_{dc}(t) = \bar{V}_{dc}(t) + \bar{v}_{dc,r}(t)$$

where  $\bar{V}_{dc}$  denotes the average component of  $v_{dc}$ , and  $\bar{v}_{dc,r}$  denotes the fluctuating component. It should be noted that the fluctuating component is directly related to the dc-link current, i.e., we have:

$$(28) \quad \bar{v}_{dc,r}(t) = \frac{1}{C_{dc}} \int \bar{i}_d(t) dt$$

where  $\bar{i}_d(t) = -v_{cr}(t) \cdot \bar{i}_c(t) / \bar{v}_{dc}(t)$ . Substituting Eq.(28) into Eq.(19), we get:

$$(29) \quad C_{dc} \bar{v}_{dc}(t) \frac{d\bar{v}_{dc}(t)}{dt} + \bar{v}_{pcc}(t) \bar{i}_c(t) + L_g \bar{i}_c(t) \frac{d\bar{i}_c(t)}{dt} + L_c \bar{i}_c(t) \frac{d\bar{i}_c(t)}{dt} = 0$$

Substituting Eq.(15) into Eq.(12), the ripple component of the dc-link current can be derived as:

$$(30) \quad i_d^{sw}(t) = \sum_{n=1}^{\infty} \underbrace{\frac{4\bar{i}_c(t)}{n\pi} \cos \frac{n\pi}{2} \sin \left[ n\pi \cdot \frac{1-2V_{cr,N}(t)}{2} \right]}_{E_n(t)} \cos \left( n \frac{2\pi}{T_{sw}} t \right)$$

Hence, the switching ripple component of the dc-link voltage is derived as:

$$(31) \quad v_{dc}^{sw}(t) = \sum_{n=1}^{\infty} \underbrace{\frac{4\bar{i}_c(t)}{n^2 \pi \omega_{sw} C_{dc}} \cos \frac{n\pi}{2} \sin \left[ n\pi \cdot \frac{1-2V_{cr,N}(t)}{2} \right]}_{F_n(t)} \sin \left( n \frac{2\pi}{T_{sw}} t \right)$$

From Eq.(30) and Eq.(31), it can be noticed that when  $n$  is odd number, we have  $E_n=F_n=0$ , which implies that only even order switching ripple components remain in the dc-link voltage and current of the inverter. Besides, the magnitude of  $F_n$  is inversely proportional to  $n^2$ , indicating that the dc-link voltage ripple attenuates rapidly with the increase of harmonic order  $n$ .

## VI. Analysis of the Modulation Signal

In order to achieve precise current tracking and stable dc-link voltage regulation of the inverter, the accurate generation of the modulation signal is the prerequisite. To simplify the analysis, the active current component of the APF is neglected, and the average component of the inverter current  $i_c$  and grid-side current  $i_g$  can be represented as:

$$(32) \quad \bar{i}_x(t) = I_{xq} \sin(\omega_l t - \pi/2) + \sum_{h=2}^H I_{xh} \sin(h\omega_l t - \varphi_{xh}), x = c, g$$

where  $I_{cq}$ ,  $I_{ca}$  and  $I_{gq}$ ,  $I_{gh}$  represent the amplitudes of reactive current and the individual harmonic component. Hence the inequalities  $I_{cq} \leq I_{lq}$ ,  $I_{ch} \leq I_{lh}$ ,  $I_{gq} \leq I_{lq}$ ,  $I_{gh} \leq I_{lh}$  holds,

where  $I_{lq}$  and  $I_{lh}$  denote the amplitudes of the reactive and harmonic component of load current.

Substituting Eq.(32) into Eq.(20), and assuming  $\bar{v}_{pcc}(t) = V_{s1} \sin(\omega_l t)$ , we get:

$$(33) \quad \begin{aligned} v_{cr}(t) &= V_{s1} \sin(\omega_l t) + \omega_l I_{gq} L_g \cos(\omega_l t - \pi/2) \\ &+ \sum_{h=2}^H h \omega_l I_{gh} L_g \cos(h\omega_l t - \varphi_{gh}) \\ &+ \omega_l I_{cq} L_c \cos(\omega_l t - \pi/2) \\ &+ \sum_{h=2}^H h \omega_l I_{ch} L_c \cos(h\omega_l t - \varphi_{ch}) \end{aligned}$$

Hence, the modulation signal  $S(t)$  can be represented as:

$$(34) \quad S(t) = 2v_{cr,N}(t) - 1 = \frac{v_{cr}(t)}{\bar{v}_{dc}(t)} = \frac{v_{cr}(t)}{\bar{V}_{dc}(t) + \bar{v}_{dc,r}(t)}$$

For an arbitrary time instant, the inequality  $0 \leq v_{cr,N} \leq 1$  holds, hence the following inequality holds:

$$(35) \quad v_{cr}(t) \leq \bar{V}_{dc}(t) + \bar{v}_{dc,r}(t)$$

which provides the theoretical basis for dc-link voltage selection for the LCL-type APF.

Since  $\bar{v}_{dc,r}(t) \ll \bar{V}_{dc}(t)$ , hence inequality  $\bar{v}_{dc}(t) \approx \bar{V}_{dc}(t)$  holds. Substituting into Eq.(34), we get:

$$(36) \quad \begin{aligned} S(t) &= (m_e + m_q) \sin \omega_l t + \sum_{h=2}^H m_{gh} \cos(h\omega_l t - \varphi_{gh}) \\ &+ \sum_{h=2}^H m_{ch} \cos(h\omega_l t - \varphi_{ch}) \end{aligned}$$

Equation (36) indicates that the modulation signal is not pure sinusoidal waveform. The variables  $m_e$ ,  $m_q$ ,  $m_{gh}$ ,  $m_{ch}$  indicate the fundamental component of the grid voltage, reactive current, grid side and inverter side current with respect to the dc-link voltage, which can be derived as:

$$(37) \quad \begin{aligned} m_e &= V_{s1} / \bar{V}_{dc} \\ m_q &= \omega_l (L_g I_{gq} + L_c I_{cq}) / \bar{V}_{dc} \\ m_{gh} &= h \omega_l L_g I_{gh} / \bar{V}_{dc} \\ m_{ch} &= h \omega_l L_c I_{ch} / \bar{V}_{dc} \end{aligned}$$

## VII. Analysis of the Dc-link Voltage Fluctuation

Substituting Eq.(32) and Eq.(37) into Eq.(26), we get:

$$(38) \quad \begin{aligned} \bar{i}_d(t) &= - \left[ (m_e + m_q) \sin \omega_l t + \sum_{h=2}^H m_{gh} \cos(h\omega_l t - \varphi_{gh}) \right] \\ &+ \sum_{h=2}^H m_{ch} \cos(h\omega_l t - \varphi_{ch}) \\ &\times \left[ I_{cq} \sin(\omega_l t - \pi/2) + \sum_{h=2}^H I_{ch} \sin(h\omega_l t - \varphi_{ch}) \right] \end{aligned}$$

After manipulation using trigonometric operations, the average dc-link current can be derived as:

$$(39) \quad \begin{aligned} \bar{i}_d &= \bar{i}_{d,2} + \sum_{h=2}^H \bar{i}_{d,h+1} + \sum_{h=2}^H \bar{i}_{d,h-1} + \sum_{h=2}^H \bar{i}_{d,2h} \\ &\quad + \sum_{\substack{h_1, h_2=2 \\ h_1 < h_2}}^H \bar{i}_{d,h_1+h_2} + \sum_{\substack{h_1, h_2=2 \\ h_1 < h_2}}^H \bar{i}_{d,h_2-h_1} \end{aligned}$$

where

$$(40a) \quad \bar{i}_{d,2}(t) = \frac{1}{2}(m_e + m_q)I_{cq} \sin(2\omega_l t)$$

$$(40b) \quad \begin{aligned} \bar{i}_{d,h+1}(t) &= \frac{1}{2}[(m_e + m_q)I_{ch} + m_{ch}I_{cq}] \cos[(h+1)\omega_l t - \varphi_{ch}] \\ &\quad + \frac{1}{2}I_{cq}m_{gh} \cos[(h+1)\omega_l t - \varphi_{gh}] \end{aligned}$$

$$(40c) \quad \begin{aligned} \bar{i}_{d,h-1}(t) &= \frac{1}{2}[-(m_e + m_q)I_{ch} + m_{ch}I_{cq}] \cos[(h-1)\omega_l t - \varphi_{ch}] \\ &\quad + \frac{1}{2}I_{cq}m_{gh} \cos[(h-1)\omega_l t - \varphi_{gh}] \end{aligned}$$

$$(40d) \quad \begin{aligned} \bar{i}_{d,2h}(t) &= -\frac{1}{2}m_{gh}I_{ch} \sin(2h\omega_l t - \varphi_{gh} - \varphi_{ch}) \\ &\quad - \frac{1}{2}m_{ch}I_{ch} \sin(2h\omega_l t - 2\varphi_{ch}) \end{aligned}$$

$$(40e) \quad \begin{aligned} \bar{i}_{d,h_1+h_2}(t) &= -\frac{1}{2}(m_{ch}I_{ch,h_2} + m_{ch}I_{ch,h_1}) \sin[(h_1 + h_2)\omega_l t - \varphi_{ch,h_1} - \varphi_{ch,h_2}] \\ &\quad - \frac{1}{2}m_{g,h_2}I_{ch,h_1} \sin[(h_1 + h_2)\omega_l t - \varphi_{gh,h_2} - \varphi_{ch,h_1}], h_1 < h_2 \end{aligned}$$

$$(40f) \quad \begin{aligned} \bar{i}_{d,h_2-h_1}(t) &= -\frac{1}{2}(m_{ch}I_{ch,h_2} - m_{ch}I_{ch,h_1}) \sin[(h_2 - h_1)\omega_l t + \varphi_{ch,h_1} - \varphi_{ch,h_2}] \\ &\quad - \frac{1}{2}m_{g,h_1}I_{ch,h_2} \sin[(h_2 - h_1)\omega_l t + \varphi_{gh,h_1} - \varphi_{ch,h_2}] \\ &\quad + \frac{1}{2}m_{g,h_2}I_{ch,h_1} \sin[(h_2 - h_1)\omega_l t + \varphi_{ch,h_1} - \varphi_{gh,h_2}], h_1 < h_2 \end{aligned}$$

Substituting these equations into Eq.(28), we get:

$$(40) \quad \begin{aligned} \bar{v}_{dc,r} &= \bar{v}_{dc,2} + \sum_{h=2}^H \bar{v}_{dc,h+1} + \sum_{h=2}^H \bar{v}_{dc,h-1} \\ &\quad + \sum_{h=2}^H \bar{v}_{dc,2h} + \sum_{\substack{h_1, h_2=2 \\ h_1 < h_2}}^H \bar{v}_{dc,h_1+h_2} + \sum_{\substack{h_1, h_2=2 \\ h_1 < h_2}}^H \bar{v}_{dc,h_2-h_1} \end{aligned}$$

where

$$(41a) \quad \bar{v}_{dc,2}(t) = -\frac{m_e + m_q}{4\omega_l C_{dc}} I_{cq} \cos 2\omega_l t$$

$$(41b) \quad \begin{aligned} \bar{v}_{dc,h+1}(t) &= \frac{(m_e + m_q)I_{ch} + m_{ch}I_{cq}}{2C_{dc}(h+1)\omega_l} \sin[(h+1)\omega_l t - \varphi_{ch}] \\ &\quad + \frac{m_{gh}I_{cq}}{2C_{dc}(h+1)\omega_l} \sin[(h+1)\omega_l t - \varphi_{gh}] \end{aligned}$$

$$(41c) \quad \begin{aligned} \bar{v}_{dc,h-1}(t) &= \frac{-(m_e + m_q)I_{ch} + m_{ch}I_{cq}}{2C_{dc}(h-1)\omega_l} \sin[(h-1)\omega_l t - \varphi_{ch}] \\ &\quad + \frac{m_{gh}I_{cq}}{2C_{dc}(h-1)\omega_l} \sin[(h-1)\omega_l t - \varphi_{gh}] \end{aligned}$$

$$(41d) \quad \begin{aligned} \bar{v}_{dc,2h}(t) &= \frac{m_{gh}I_{ch}}{4h\omega_l C_{dc}} \cos(2h\omega_l t - \varphi_{gh} - \varphi_{ch}) \\ &\quad + \frac{m_{ch}I_{ch}}{4h\omega_l C_{dc}} \cos(2h\omega_l t - 2\varphi_{ch}) \end{aligned}$$

$$\bar{v}_{dc,h_1+h_2}(t) = \frac{m_{ch,h_1}I_{ch,h_2} + m_{ch,h_2}I_{ch,h_1}}{2C_{dc}(h_1 + h_2)\omega_l} \cos[(h_1 + h_2)\omega_l t - \varphi_{ch,h_1} - \varphi_{ch,h_2}]$$

$$(41e) \quad \begin{aligned} &\quad + \frac{m_{g,h_1}I_{ch,h_2}}{2C_{dc}(h_1 + h_2)\omega_l} \cos[(h_1 + h_2)\omega_l t - \varphi_{gh,h_1} - \varphi_{ch,h_2}] \\ &\quad + \frac{m_{g,h_2}I_{ch,h_1}}{2C_{dc}(h_1 + h_2)\omega_l} \cos[(h_1 + h_2)\omega_l t - \varphi_{gh,h_2} - \varphi_{ch,h_1}] \end{aligned}$$

$$(41f) \quad \begin{aligned} \bar{v}_{dc,h_2-h_1}(t) &= \frac{m_{g,h_1}I_{ch,h_2}}{2C_{dc}(h_2 - h_1)\omega_l} \cos[(h_2 - h_1)\omega_l t + \varphi_{gh,h_1} - \varphi_{ch,h_2}] \\ &\quad + \frac{m_{g,h_2}I_{ch,h_1}}{2C_{dc}(h_2 - h_1)\omega_l} \cos[(h_2 - h_1)\omega_l t + \varphi_{ch,h_1} - \varphi_{gh,h_2}], h_1 < h_2 \end{aligned}$$

## VIII. Experimental Validation and Discussions

To validate the theoretical analysis, experimental results of the LCL-type APF are presented. The system parameters are  $L_g=50\mu H$ ,  $L_c=250\mu H$ ,  $C_{dc}=20000\mu F$ ,  $C_f=10\mu F$ ,  $R=0.3$  Ohm,  $v_s=220V$  (RMS). The current loop control technique and dc-link regulation are consistent with the scheme in [4]. In this study, two experimental tests are implemented, one is for constant reactive current generation, another is for compensation of nonlinear current generated by thyristor rectifier load.

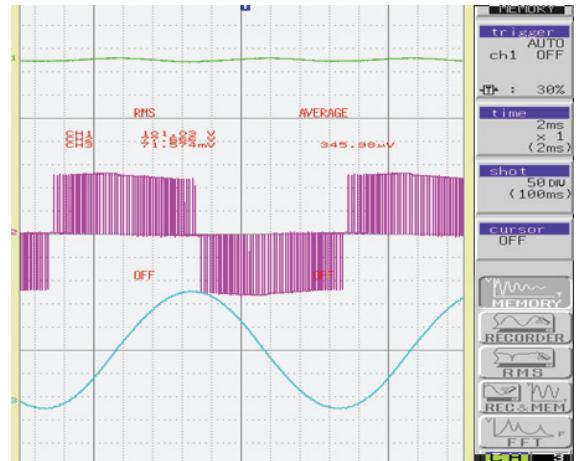


Fig.5 The experimental results of the LCL-type APF when a constant reactive current is generated.

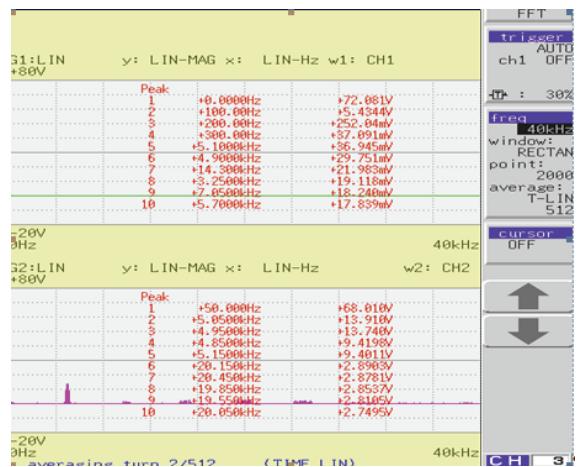


Fig.6 The FFT spectrum of the inverter dc-link voltage and the inverter output voltage.

Fig.5 shows the experimental results of the LCL-type APF when a constant reactive current is generated. The ch-1 and ch-2 in Fig.5 represents the dc-link voltage of the inverter and the inverter output voltage. The ch-3 shows

the waveform of the grid side current, which is 90 degree lagging the inverter output voltage. Fig.6 shows the FFT spectrum of the dc-link voltage and inverter output voltage. It shows that, the second order harmonic dominates the dc-link voltage, and the highest switching ripple of the inverter output voltage is around 5kHz, which is double the switching frequency of the inverter (2.5kHz).

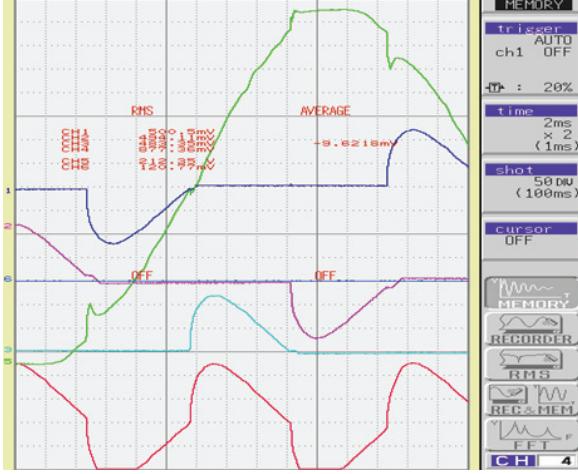


Fig.7 The experimental results of the nonlinear load currents and grid voltage when APF is turned off.(ch-1,2,3,4 corresponds to phase 'a', 'b', 'c', 'N'.)

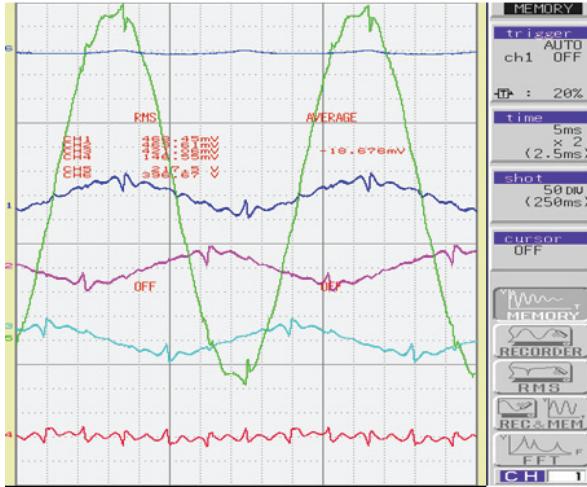


Fig.8 The experimental results of the grid currents, grid voltage and inverter dc-link voltage when APF is turned on. (ch-1,2,3,4 corresponds to phase 'a', 'b', 'c', 'N', ch-5, 6 corresponds to the grid voltage and inverter dc-link voltage.)

Figs.7-9 shows the experimental results of the LCL-type APF for nonlinear current compensation using three single-phase configuration. Fig.7 shows the waveforms of the nonlinear load currents and the grid voltage in phase 'A'. It can be noticed that the load currents are highly nonlinear, with a characteristic harmonic component of the order 3, 5, 7, etc. And the grid voltage shows obvious notch at the conduction instant of the thyristor rectifier. Fig.8 shows the waveforms of the grid currents and grid voltage in phase 'A' when the APF starts compensation. It shows that the selected harmonic components are well compensated by the APF. Fig.9 shows the FFT spectrum of the grid voltage and the inverter dc-link voltage. It shows that the switching ripple components are well damped in the grid voltage and the dc-link voltage (ch-6) shows the fluctuation of second

order harmonic component, which is consistent with the theoretical analysis.

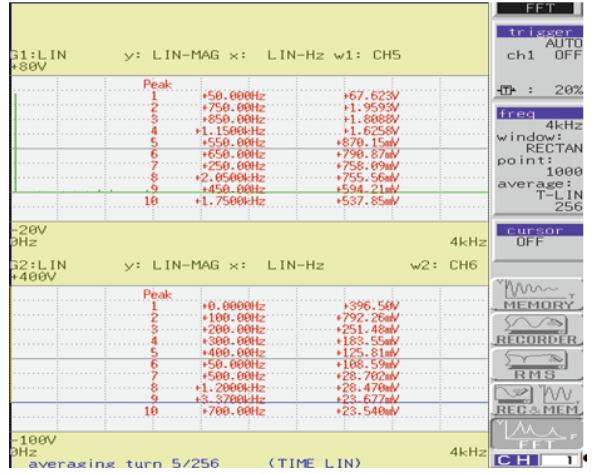


Fig.9 The FFT spectrum of the grid voltage (ch-5) and inverter dc-link voltage (ch-6).

## IX. Conclusions

This paper presents the averaged and switching function modelling for the active power filter with LCL-type coupling impedance. The mathematical model of the LCL-type APF is derived using switching function modelling technique. And the equivalent circuits are derived from the averaged and switching ripple model. Besides, the ac-side and dc-side averaged and switching models of the inverter are also derived. The presented technique is extended to the analysis of the modulation signal and the inverter dc-link voltage. Finally, the experimental results are also provided, which validates the theoretical derivations.

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